

Price, Tobacco Control Policies and Youth and Young Adult Tobacco Use

Frank J. Chaloupka
University of Illinois at Chicago

www.uic.edu/~fjc

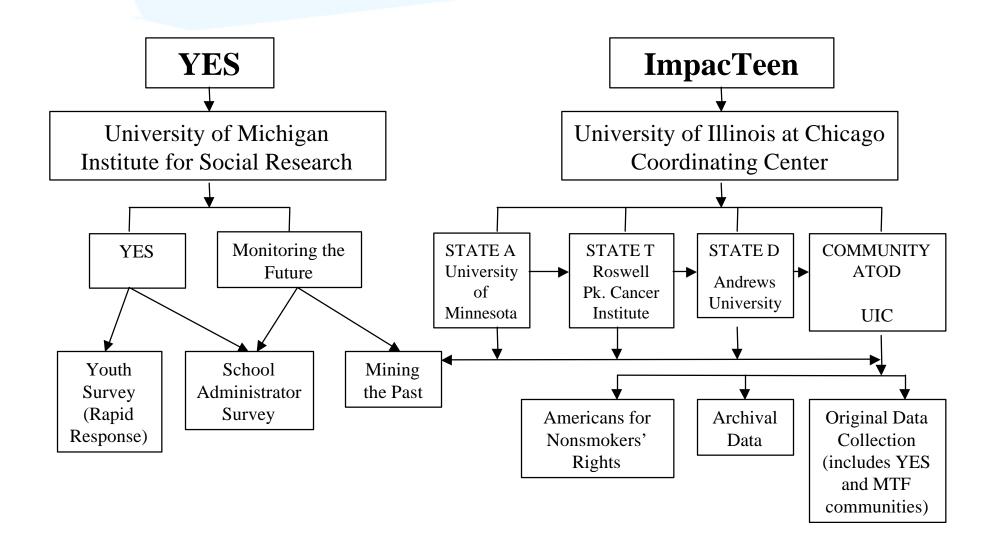
www.uic.edu/orgs/impacteen

www.impacteen.org

Funding provided by The Robert Wood Johnson Foundation, the Centers for Disease Control and Prevention, the National Cancer Institute and others



Bridging the Gap Overview





Investigators

Frank Chaloupka, Project Director **Brian Flay, Co-Director** Sandy Slater, Deputy Director Anna Sandoval, Community Data Coordinator Erin Ruel, Database Manager/Analyst Elizabeth Wewers, Local Ordinance Database Mgr. Jenny Williams, Research Associate John Tauras, Research Associate Hana Ross, Research Associate Lan Liang, Research Associate **Bradley Gray, Research Associate Christina Czart, Research Associate** Maggie Murphy, Communications Director **Several Research Assistants**

limpac

Investigators

Dianne Barker, Barker Bi-Coastal Melanie Wakefield, Anti-Cancer Council of Victoria Michael French, University of Miami Henry Saffer, National Bureau of Economic Research Yvonne Terry McElrath, University of Michigan Katherine Smith, UIC - media grant Glen Szczypka, UIC - media grant **Battelle Memorial Institute - Community Data Collection** (Jaana Myllyluoma, Project Director) **Research Triangle Institute - Community Mapping Americans for Nonsmokers' Rights Foundation - Local Tobacco Ordinance Database (Julia Carol) SPAR-Burgoyne - Tobacco Price Study Mayatech - Local Ordinance Tracking/Verification**



Investigators

Gary Giovinio, Roswell Park Cancer Institute Cindy Tworek, Roswell Park Cancer Institute Alex Wagenaar, University of Minnesota Eileen Harwood, University of Minnesota Darrin Eriksen, University of Minnesota **Duane McBride, Andrews University Rosalie Pacula, RAND Corporation** Lloyd Johnston, University of Michigan Patrick O'Malley, University of Michigan Jerald Bachman, University of Michigan John Schulenberg, University of Michigan Many others at each site Michael Grossman, National Bureau of Economic Research Henry Wechsler, Harvard School of Public Health



Advisors

David Altman, Wake Forest University
Marjorie Gutman, Unversity of Pennsylvania
Herb Kleber, Columbia University

Representatives from:
National Cancer Institute
National Institute on Drug Abuse
National Institute on Alcohol Abuse and Alcoholism
Centers from Disease Control and Prevention
Substance Abuse and Mental Health Services Administration
Office of National Drug Control Policy
National Association of State Alcohol and Drug Abuse Directors
Many others



Objectives

- To build on existing information about the effectiveness of policies, environmental influences, and other factors in reducing youth substance use and abuse.
- •To develop three databases -- one each for alcohol, tobacco, and illicit drugs -- for the 50 United States and District of Columbia, containing:
 - -matrices of policies, environmental, social and institutional influences, and market mechanisms
 - -measure of use, harms associated with use, and related outcomes
- •To make the state-level data publicly available

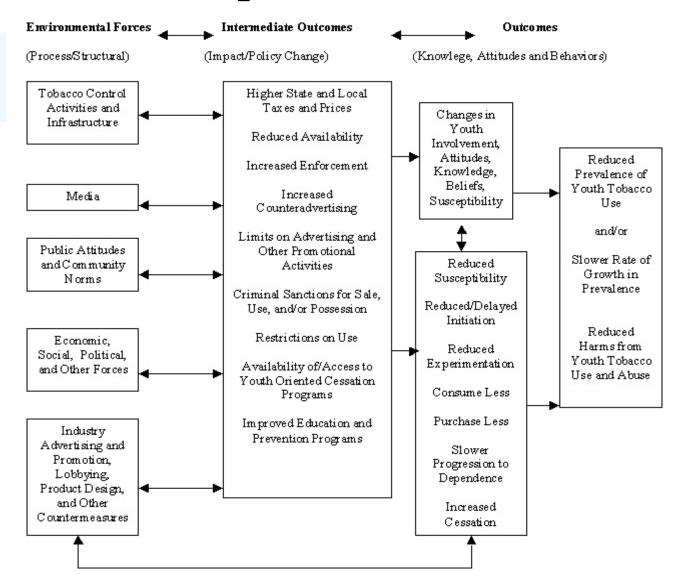


Objectives

- •To develop comparable, in-depth community-level information on policies, market mechanisms, and environmental, social and institutional influences on youth substance use.
- •To merge community-level data with other Bridging the Gap data to examine effects of policies, programs and practices at the state, community and/or school levels on youth substance use.



Conceptual Framework





ImpacTeen Data Collections

• Community Observations:

- •Retail outlets for tobacco and alcohol (product placement, pricing, promotions, advertising, counteradvertising, signage, and more)
- •Local alcohol, tobacco, other drug, and youth specific ordinances and regulations
- •General community observations (advertising, counteradvertising, social capital, and more)

•Key Informant Interviews:

- •Modular interviews, targeted and snowball approach
- •Detailed information on policy implementation and enforcement, wide range of other information

•Archival Data:

•FDA data, population characteristics, and much more



YES! and ImpacTeen Data Collections

State-level databases:

- •Separate databases for tobacco, alcohol and illicit drugs
- •State laws and regulations related to alcohol, tobacco, and other drug use and related outcomes
- •State level measures of alcohol, tobacco, and other drug use and the harms resulting from use
- •Wide variety of other state level information

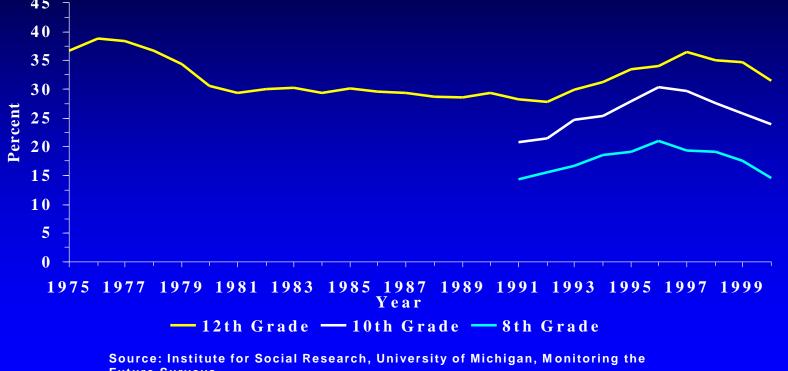
School-level information

- Annual surveys of school administrators
 - •Information on school alcohol, tobacco, and other drug related policies
 - •Detailed information on school prevention curricula
 - •Detailed information on other school programs targeting youth alcohol, tobacco and other drug use
- School observations



Background





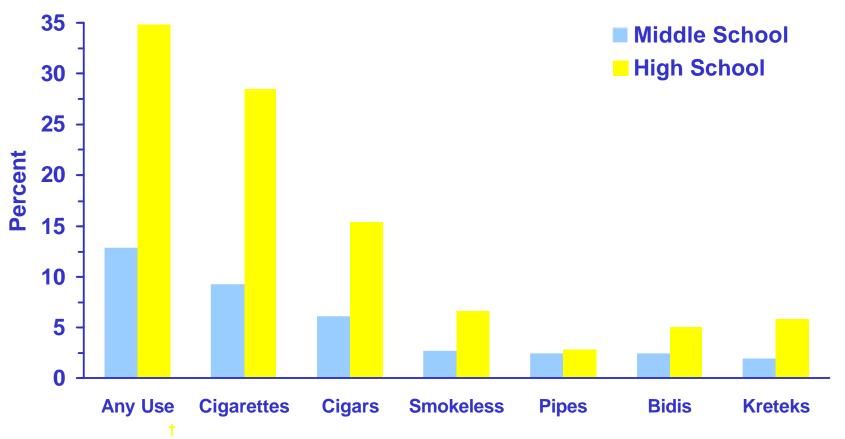
Future Surveys

*Smoking 1 or more cigarettes during the previous 30 days



Background

Current* use among middle and high school students by type of tobacco product— National Youth Tobacco Survey, 1999



^{*} Used tobacco on 3 1 of the 30 days preceding the survey.

[†] Use of cigarettes, smokeless, cigars, pipes, Bidis, or Kreteks.



- •Monitoring the Future Surveys (8th, 10th & 12th grade students)
 - Conducted by the Institute for Social Research at the University of Michigan
 - Funded by the National Institute on Drug Abuse
 - Independent samples are drawn for each grade; samples are taken within the contiguous United States
 - Data from 1991-1998 were used for various studies
 - •Multiple measures of youth tobacco use, including:
 - Indicator of past month smoking participation
 - Indicator of past month smokeless tobacco use
 - average daily cigarette consumption
 - frequency of past month smokeless tobacco use
 - categorical measures of intensity of smoking and smokeless tobacco use
 - index of smoking uptake



- •Longitudinal Monitoring the Future Surveys (8th, 10th & 12th grade students)
 - Panels formed from 1976 through 1993 high school senior surveys
 - Follow-up surveys through 1995
 - select approximately 2,000 students from baseline surveys for biennial follow-up surveys
 - Up to eight observations on some individuals, mostly ages 18-32 years
 - Nearly 200,000 observations on about 50,000 persons
 - •Panels formed from 1991 through 1993 8th and 10th grade surveys
 - •Follow-up surveys through late 1990s (same process as for 12th graders)
 - About 25,000 observations on nearly 10,000 persons



- •1993, 1997 and 1999 Harvard College Alcohol Surveys
 - −16,000+ students in each survey
 - -140 4-year colleges and universities (fewer in later years)
 - Measures of cigarette smoking:
 - •Indicator of 30 day smoking participation
 - •Categorical measure of intensity of cigarette smoking
 - Average daily cigarette consumption
 - -Basic characteristics of each school
 - -Information on campus tobacco-related policies:
 - •Advertising restrictions, presence of smoke-free dorms, restrictions on smoking on campus and their enforcement, availability of tobacco products on campus



- •1996 Robert Wood Johnson Foundation/Audits&Surveys Worldwide Youth and Young Adult Tobacco Use Surveys
 - Five separate surveys:
 - •In-school high school student survey
 - -Approximately 17,000 students in 201 schools; nationally representative
 - •On campus college student survey
 - -Approximately 2,000 students at 50 universities; convenience sample
 - •In-home survey of 14-25 year olds not in school
 - -Approximately 2,400 persons in about 200 locations
 - School administrator survey
 - –Detailed data on school policies and prevention curricula/programs
 - •In-store environmental survey
 - -Measures of cigarette prices and promotions, advertising, tobacco-related signage, product placement, and more



- •1996 RWJF/A&SW Youth and Young Adult Tobacco Use Surveys (continued)
 - Very comprehensive, detailed information on tobacco use;
 multiple measures examined:
 - •30 day smoking participation
 - Number of days smoked
 - •Average cigarettes consumed per smoking day
 - •Past month cigarette consumption
 - •Smoking uptake
 - •Smoking cessation
 - Sources of tobacco products
 - •Purchase experiences
 - •Much more



- Youth Risk Behavior Surveillance System (YRBSS):
 - State-specific data on public high school students (approximate ages 14-18 years old).
 - Nationally representative surveys of high school students
- •National Youth Tobacco Surveys (NYTS)
 - Nationally representative surveys of middle school and high school students
- •National Household Surveys on Drug Abuse
 - –Nationally and state representative surveys, 12-17, 18-24 and 25 and older populations
- •State tax-paid cigarette sales



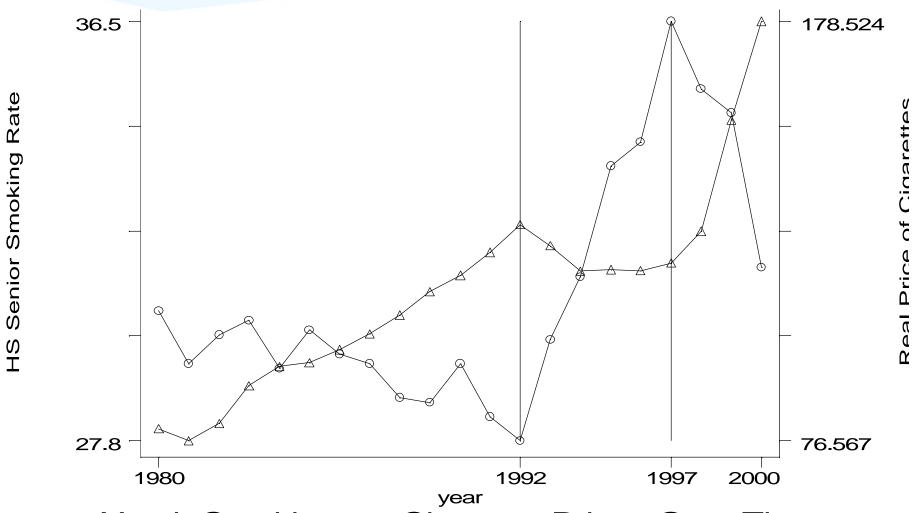
- Tobacco Control Expenditure Data: *Complied by CDC*, *NCI and the Research Triangle Institute*):
 - A composite measure of state-specific expenditures from various sources (e.g., ASSIST, IMPACT, Smokeless States, excise taxes, state funds).
- Price Data: The Tax Burden On Tobacco
 - •State-specific price estimates as of November 1st of each year:
 - Average price is constructed by weighting present year and past year prices, and then adding the average to the average of federal and state excise taxes for the current year.
 - Average cigarette tax (weighted average for relevant period)
 - •Smokeless tobacco tax



•Price Data:

- -American Chamber of Commerce Researchers' Association
 - Quarterly, city-specific prices for a carton of king-sized Winston cigarettes for approximately 350 cities each quarter
- Observational Data:
 - •Prices per pack for leading cigarette brands
 - •Price related promotions (cents-off specials, multi-pack discounts, gifts with purchase, in-store coupons, etc.)
- Scanner Data:
 - •UPC level data on prices for all cigarettes in 50 markets
 - •Similar data for NRT products
- Self-reported Data:
 - •Now collected in several surveys, including RWJF/A&S, NYTS, and NHSDA
- Nearby prices to account for cross-border shopping





Youth Smoking vs. Cigarette Prices Over Time

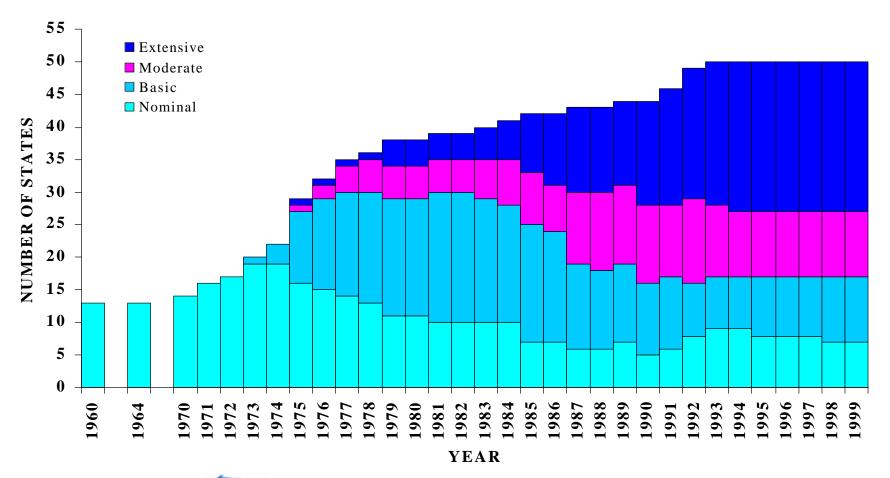


Clean Indoor Air Laws

- indicators of restrictions on cigarette smoking in private worksites, restaurants, government buildings, retail stores, and many other public places
- index reflecting comprehensiveness of individual restrictions
- indicators of home smoking policies
- measures of enforcement of and compliance with smoking restrictions
- Sources:
 - •CDC's State Tobacco Activities Tracking and Evaluation system and unpublished data
 - •American Lung Association's State Legislated Actions on Tobacco Issues
 - •Americans for Nonsmokers' Rights Foundation local tobacco ordinance database
 - •On-site data collection
 - State law books
 - •Key informant surveys

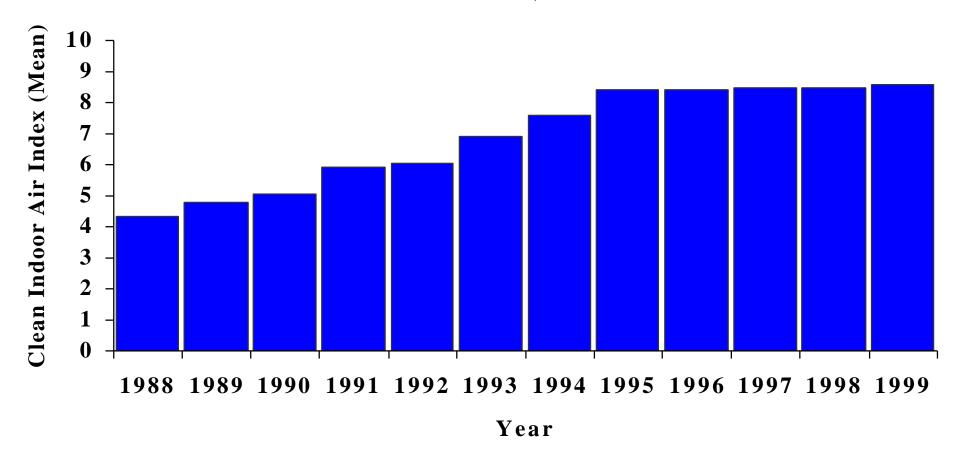


Restrictiveness Of State Laws Regulating Smoking In Public Places
– U.S., 1960-1999





Mean Comprehensiveness of State Laws Regulating Smoking In Public Places – U.S., 1960-1999



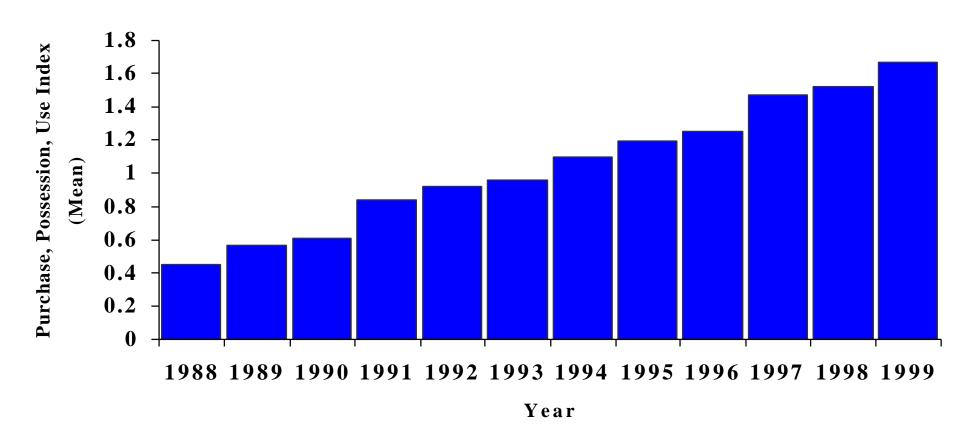


Youth Access to Tobacco Products

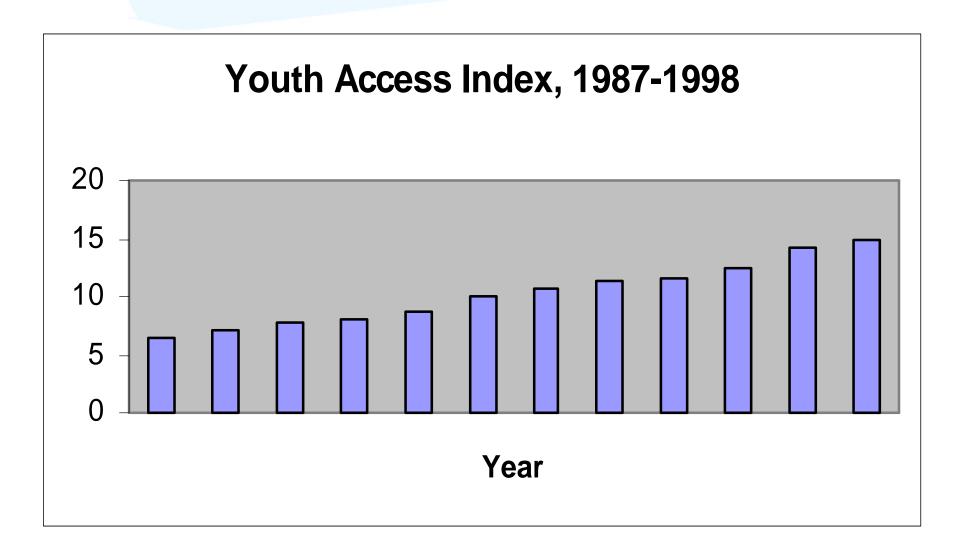
- indicators of restrictions on youth access to tobacco products, including minimum legal purchase age for cigarettes and other tobacco products, signage requirements, limits on vending machines, and others
- indicators of prohibitions on youth purchase, possession, and use of tobacco
- measures of enforcement of and compliance with youth access provisions
- Sources:
 - •CDC's STATE system and unpublished data
 - •ALA State Legislated Actions on Tobacco Issues
 - ANRF local tobacco ordinance database
 - •SAMHSA Synar reports
 - •FDA data
 - On-site data collection
 - •Key informant surveys
 - •Jonathan Gruber



Mean Number of Purchase, Possession, and Use Laws per State* -- United States, 1988-1999









Other Key Variables

- Age, sex, race/ethnicity, father's education, mother's education, respondent's earned income, respondent's income from other sources, labor force status, mother's work status, religiosity, and much more
- •School-level information on school characteristics, policies, prevention curricula, and related-activities
- •Demographic/SES and other state/community information



Statistical Analyses

- Probit and logit models for dichotomous outcomes
- Ordered probit/logit models for categorical frequency and intensity of use measures
- Poisson and negative binomial models for count data
- Least squares models for continuous data
- Threshold of change models for uptake analyses
- Discrete time hazard models for smoking cessation and smoking initiation
- Fixed effects models to control for state-specific unobservables
- Multiple model specifications/multiple subsamples
- Standard errors adjusted for clustering



- Consistent evidence that higher cigarette prices reduce cigarette smoking and other tobacco use
 - short-run price elasticity estimates for overall cigarette smoking cluster in the range from -0.25 to -0.40
 - •About half of impact is on prevalence
 - •Long-run estimates about double the short-run estimates
 - Price elasticity greater in the younger age groups:
 - •Youth about 3 times more sensitive to price
 - prevalence elasticity estimates cluster in −0.50 to −0.70 range
 - •Young adults about twice as sensitive to price
 - −Prevalence estimates cluster in −0.3 to −0.6 range
 - Similar estimates for impact of price on smokeless tobacco use



- •Evaluation of the Impact of the March 1, 2000 55-cent Increase in the New York State Cigarette Excise Tax:
 - •Initial efforts focus on schools participating in both 1999 and 2000 MTF surveys at 8th and 10th grade levels
 - •Preliminary Findings:
 - •Cigarette price increases:
 - •NY: Marlboro- \$1.00 (30.7%); Newport \$1.00 (31.0%)
 - •US: Marlboro 33 cents (11.5%); Newport 31 cents (10.2%)
 - •Smoking Prevalence (NY matched schools, after 4/1; US all schools after 4/1):
 - •8th Grade NY: -17.8%; US: 11.2%
 - •10th Grade NY: -18.9%; US: -1.0%



- Strong evidence that higher cigarette prices increase the probability of smoking cessation among young adults
 - elasticity of smoking cessation estimates in range from 0.27 to 0.47
- Strong evidence that higher prices reduce the probability of smoking initiation among youth
 - elasticity of youth smoking initiation estimates range from -0.30 (any smoking) to -1.00 (heavy daily smoking)
- Strong evidence that higher prices significantly reduce youth smoking uptake
 - larger impact of price on transitions into more regular smoking
- •Strong evidence that higher prices significantly reduce the frequency and intensity of youth and young adult smoking
 - impact of price increases as intensity of consumption increases



- Estimates indicate that young males and young African-Americans are more sensitive to price than young women and young whites
- No evidence that higher cigarette prices lead youth/young adults to substitute to other substances
 - if anything, cigarettes appear to be complements to other substances, including marijuana and alcohol
- •Evidence from econometric analyses consistent with qualitative evidence from focus groups of young smokers conducted by the CDC's Network of Prevention Research Centers
- •Evidence from econometric analyses consistent with selfreported anticipated responses to alternative cigarette price increases from the A&S surveys



Results – Smoking Restrictions

- Generally consistent evidence that restrictions on smoking in workplaces and public places significantly reduce youth, young adult, and adult cigarette smoking
 - -More comprehensive restrictions lead to largest reductions
 - -Reduce both prevalence and consumption among smokers
- Strong evidence that comprehensive restrictions on smoking in private worksites increase the probability of smoking cessation among young adults
- •Strong evidence that restrictions on smoking at home significantly reduce the probability of youth smoking, smoking uptake, and youth cigarette consumption



Results – Youth Access Restrictions

- Generally little evidence that restrictions on youth access to tobacco products reduce youth smoking
 - likely due to the generally poor enforcement of these laws
- Relatively strong evidence that increased retailer compliance with limits on youth access (resulting from stronger enforcement) leads to significant reductions in youth smoking prevalence and consumption
 - little impact on youth experimentation
 - impact increases as youth progress to more regular smoking
- Some weak evidence that combination of policies prohibiting youth purchase, possession and use of tobacco products lead to significant reductions in youth smoking
 - effect appears largest on lowest risk youth



Results – Other Tobacco Related Policies

- Strong evidence that expenditures on comprehensive tobacco control programs and tobacco control coalitions lead to significant reductions in overall cigarette smoking, youth cigarette smoking
 - impact of mass-media counteradvertising campaigns most significant
- Consistent evidence that state preemption of stronger local tobacco control policies increases youth smoking prevalence and consumption
- •Some evidence that smoker protection laws create favorable environment for tobacco use and lead to increased cigarette consumption



Results – Tobacco Marketing Practices

- Evidence that MSA ban on billboard advertising by cigarette companies increased advertising and promotional activities at the point of purchase
 - multipack discounts, gifts with purchase, cents off coupons more likely after billboard ban
 - exterior and interior store advertising more pervasive after billboard ban
 - functional objects more frequent after billboard ban
 - consistent with recent studies of impact of other advertising bans



Results – Tobacco Marketing Practices

- Find that tobacco company marketing efforts vary with respect to key community characteristics
 - Marlboro prices significantly lower in neighborhoods with greater youth and young adult populations
 - cigarettes more likely to be available for self service in neighborhoods with larger youth population
 - more interior and exterior cigarette advertising in low-income neighborhoods
- Evidence that pro-tobacco marketing efforts at the retail level are stronger in states with comprehensive tobacco control programs
 - greater likelihood of gift-with-purchase and other promotions
 - more extensive cigarette advertising on storefronts and in stores



Next Steps

- Continue to refine and improve analyses of the impact of school, community, state, and federal policies, regulations, and environmental factors on youth and young adult smoking
- Examine the impact of televised counteradvertising and other tobacco-related messages on youth knowledge, attitudes and beliefs about smoking and on their smoking behavior
- Examine the effects of newspaper coverage of tobacco issues on youth smoking related outcomes
- Continue to study tobacco company marketing strategies and their impact on youth smoking related outcomes
- and much more.....

